

JUL 06 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Lane W. Lee et al.  
Assignee: DPHI Acquisitions, Inc.  
Title: System And Method For Detecting Unauthorized Copying of Encrypted Data  
Serial No.: 09/940,174 Filing Date: August 27, 2001  
Examiner: Hewitt, H.C. Group Art Unit: 3621  
Docket No.: M-12038 US Confirmation No.: 5308

Irvine, California  
July 6, 2005Via Facsimile to (703) 872-9306

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following documents are being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below:

- 1) Request for Continued Examination (RCE) Transmittal
- 2) RCE Transmittal Letter, including a copy of the Response to Final Office action faxed to PTO on June 10, 2005.

Dated: July 6, 2005

  
Saundra L. CarrNumber of pages (including this sheet): 116

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Fax to USPTO

JUL 06 2005

PTO/SB/30 (09-04)

Approved for use through 07/31/2008. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**Request  
for  
Continued Examination (RCE)  
Transmittal**Address to:  
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Alexandria, VA 22313-1450

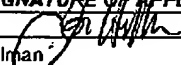
Application Number	09/040,174
Filing Date	August 27, 2001
First Named Inventor	Lane W. Lee et al.
Art Unit	3621
Examiner Name	H.C. Hewitt
Attorney Docket Number	M-12038 US

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).
- a. ☒ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- ii. ☒ Other Response to Final Office Action dated June 10, 2005
- b. ☐ Enclosed
- i. ☐ Amendment/Reply
- iii. ☐ Information Disclosure Statement (IDS)
- ii. ☐ Affidavit(s)/ Declaration(s)
- iv. ☐ Other \_\_\_\_\_
2. **Miscellaneous**
- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other \_\_\_\_\_
3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- The Director is hereby authorized to charge the following fees, or credit any overpayments, to
- a. ☒ Deposit Account No. 50-2257 I have enclosed a duplicate copy of this sheet.
- i. ☒ RCE fee required under 37 CFR 1.17(e) 07/07/2005 TL0111 00000043 502257 09940 74
- ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17) 02 FC:2801 395.00 DA
- iii. ☒ Other RCE Fee Transmittal attached
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

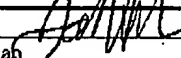
**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Signature		Date	July 6, 2005
Name (Print/Type)	Jonathan W. Hallman	Registration No.	42,622

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Signature		Date	July 7, 2005
Name (Print/Type)	Jonathan W. Hallman	Date	July 7, 2005

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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July 5, 2005

**RCE TRANSMITTAL LETTER****Via Facsimile to (703) 872-9306**

Mail Stop RCE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Re: Applicants: Lane W. Lee et al.  
 Title: System and Method for Detecting Unauthorized Copying of Encrypted Data  
 Application No.: 09/940,174 Filing Date: August 27, 2001  
 Examiner: Hewitt, H.C. Group Art Unit: 3621  
 Docket No.: M-12038 US Confirmation No.: 5308

Dear Sir:

Transmitted herewith for filing in the above-identified application are the following documents:

- (1) Certificate of Facsimile Transmission;
- (2) Request for Continued Examination (RCE) Transmittal; and
- (3) Response to Final Office Action.

☒ The Filing Fees Are Calculated as Follows:

07/07/2005 TL0111 00000043 502257 09940174  
 01 FC:2253 510.00 DA

☒ Applicant claims Small Entity Status:

☒ APPLICANT(S) PETITIONS FOR AN EXTENSION OF TIME AS INDICATED BELOW:

EXTENSION (months)		FEE FOR SMALL ENTITY		FEE FOR LARGE ENTITY
1 month	<input type="checkbox"/>	\$60.00	<input type="checkbox"/>	\$120.00
2 months	<input type="checkbox"/>	\$225.00	<input type="checkbox"/>	\$450.00
3 months	<input checked="" type="checkbox"/>	\$510.00	<input type="checkbox"/>	\$1,020.00
4 months	<input type="checkbox"/>	\$795.00	<input type="checkbox"/>	\$1,590.00
5 months	<input type="checkbox"/>	\$1,080.00	<input type="checkbox"/>	\$2,160.00

☐ If an additional extension of time is required, please consider this a petition therefor.

☒ RCE Fee Under 37 CFR 1.17(e) for SMALL ENTITY \$395.00

☒ Extension of Time Fee as indicated above (minus extensions already paid) \$285.00

☒ Please charge our Deposit Account in the amount of \$680.00

☒ Kindly charge any additional fees required and credit any overpayment to our Deposit Account No. 50-2257

**Certification of Facsimile Transmission**

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

*Saundra L. Carr* July 6, 2005  
 Saundra L. Carr Date of Signature

Respectfully submitted,

*Jonathan W. Hallman*  
 Jonathan W. Hallman  
 Attorney for Applicants  
 Reg. No. 42,622

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Lane W. Lee et al.  
Title: System And Method For Detecting Unauthorized Copying Of Encrypted Data  
Application No.: 09/940,174 Filing Date: August 27, 2001  
Examiner: Hewitt, H.C. Group Art Unit: 3621  
Docket No.: M-12038 US Confirmation No. 5308

Irvine, California  
June 10, 2005

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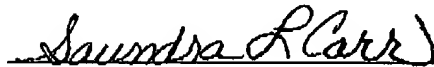
Mail Stop AAF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following documents are being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below:

- 1) Amendment Transmittal Letter
- 2) Response to Final Office Action dated January 12, 2005

Dated: June 10, 2005

  
Sandra L. Carr

Number of pages (including this sheet): 11

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P.O. Box 1450

Alexandria, VA 22313-1450

Re: Applicants: Lane W. Lee et al.  
 Title: System And Method For Detecting Unauthorized Copying Of Encrypted Data  
 Application No.: 09/940,174 Filing Date: August 27, 2001  
 Examiner: Hewitt, H. Group Art Unit: 3621  
 Docket No.: M-12038 US Confirmation No.: 5308

Dear Sir:

Transmitted herewith is an Amendment for the above-identified application:

- ☒ Applicant claims SMALL ENTITY
- ☒ APPLICANT(S) PETITIONS FOR AN EXTENSION OF TIME AS INDICATED BELOW:

EXTENSION (months)		FEE FOR SMALL ENTITY		FEE FOR LARGE ENTITY
1 month	<input type="checkbox"/>	\$60.00	<input type="checkbox"/>	\$120.00
2 months	<input checked="" type="checkbox"/>	\$225.00	<input type="checkbox"/>	\$450.00
3 months	<input type="checkbox"/>	\$510.00	<input type="checkbox"/>	\$1,020.00
4 months	<input type="checkbox"/>	\$795.00	<input type="checkbox"/>	\$1,590.00
5 months	<input type="checkbox"/>	\$1,080.00	<input type="checkbox"/>	\$2,160.00

☒ If an additional extension of time is required, please consider this a petition therefor.

**THE FEES FOR CLAIMS HAS BEEN CALCULATED AS SHOWN BELOW:**

Total Claims-	9	-	20	=	0	x	\$50.00	\$ .00
Independent Claims	3	-	3	=	0	x	\$200.00	\$ .00
Multiple Dependent Claims	\$360	(if applicable)				<input type="checkbox"/>		\$ .00
<b>TOTAL OF ABOVE CALCULATIONS</b>								<b>\$ .00</b>
Reduction by 1/2 for Claiming Small Entity Status.						<input type="checkbox"/>		\$ .00
<b>Total Fees For Claims Submitted Herewith</b>								<b>\$ .00</b>
XX	Please charge the fees set forth above to our Deposit Account No. 50-2257 in the amount of							\$225.00
XX	Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 50-2257							

**Certification of Facsimile Transmission**

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

*Saundra L. Carr* June 10, 2005  
 Saundra L. Carr Date of Signature

Respectfully Submitted,

*Jonathan W. Hallman*  
 Jonathan W. Hallman  
 Attorney for Applicants  
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